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FROM: David M. Mello Direct Phone: 617-535-4037

E-Mail: dmello@mwe.com

Sent By: Gayle Endres Direct Phone: 617-535-4113

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Re: Response to Office Action dated December 30, 2003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rosalind Herman et al.

Serial No. 09/873,864

Filing Date: June 4, 2001

Title: FOUNDATION FUNDS GENERATION SYSTEM AND METHOD

Attorney Docket No.: 60697-015 (NFRK-001)

Examiner: Rachel L. Porter

Art Unit: 3626

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March 30, 2004  
Date

By: David M. Mello  
David M. Mello

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith for filing in connection with the above-identified patent application is a Response to the Office Action dated December 30, 2003.

Respectfully submitted,

David M. Mello  
David M. Mello, Reg. No. 43,799  
McDermott, Will & Emery  
28 State Street  
Boston, MA 02109  
Tel (617) 535-4037  
Fax (617) 535-3800  
E: dmello@mwe.com

Date: MARCH 30, 2004